

# Whirlwind Intro to UL 4600 Safety Standard for Autonomous Vehicles

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Carnegie Mellon University





#### **UL 4600 Basics**



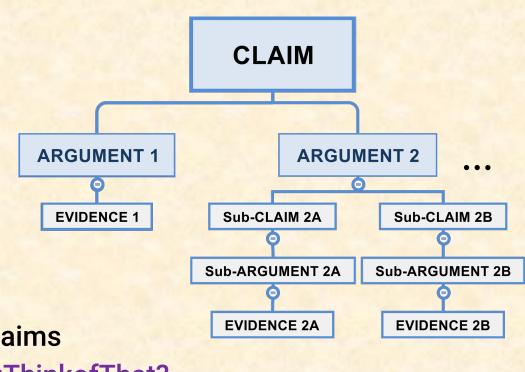
- Self Driving Car safety case assessment
- Underwriters Laboratories
  - Non-profit Standards Development Organization
  - Standards Technical Panel: Developers, suppliers, academia, government, insurance, assessors, international reps., ...
  - UL 4600 issued April 2020 for light vehicles
    - UL 4600 version 2 kickoff summer 2020
    - UL 4600-2 for trucks kickoff summer 2020
- Goal based approach:
  - Works with other standards to avoid gaps in safety approaches



## Standardizes Safety Case Assessment



- Safety claims
  - "What does safe mean?"
- Arguments
  - "Why do we think it's safe?"
- Evidence
  - "Where's the data?"
- 4600 helps ensure:
  - Evidence → Arguments → Claims
  - Seeded hazard lists: #DidYouThinkofThat?
  - System level approach to safety



### **System Safety**



- Driving:
  - Functional safety & SOTIF
  - Software safety in general
  - Machine learning and autonomy



Is it safe to drive now?

- There is no "Captain" in charge:
  - Lifecycle: deployment, operation, incidents, maintenance, ...
  - Infrastructure: vehicle, roads, data networks, cloud computing, ...
  - Road users: pedestrians, light mobility, emergency responders, ...
  - Environment: Operational Design Domain (ODD) violations
  - ... and more ...

#### **SPIs and Feedback Loops**



- Post-deployment feedback is essential
- Safety Performance Indicators (SPIs)
  - Is the system's behavior safe?
  - Are assumptions in the safety case true?
  - Does field data look like we expect it to?
- Feedback loops
  - Diagnose and fix root cause of SPI alerts:
    - Testing, operational, procedural, training data, ...
  - Field feedback continually improves: vehicle design // safety case // risk evaluation







#### **EDGE CASE RESEARCH**

WE DELIVER THE PROMISE OF AUTONOMY

https://edge-case-research.com/ul4600/